

# Leader Election

## Rings, Arbitrary Networks

Smruti R. Sarangi

Department of Computer Science  
Indian Institute of Technology  
New Delhi, India

# Outline

- 1 Leader Election in Rings
  - $O(n^2)$  Algorithm
  - $O(n \log(n))$  Algorithm
  
- 2 Leader Election in Trees

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# Leader Election in Rings

- We assume that we are using a ring based overlay.
- We wish to choose the process with the smallest id as the leader. (NOTE: **asymmetry** )
- Messages can only be sent to the clockwise neighbor(left) or anti-clockwise neighbor(right).

# Chang-Roberts Algorithm

```
1 if  $p$  is initiator then  
2    $state \leftarrow$  find  
   send  $p$  to next( $p$ )  
   while  $state \neq$  leader do  
3     receive( $q$ );  
     if  $p = q$  then  
4        $state \leftarrow$  leader  
     end  
5     else if  $q < p$  then  
6       if  $state =$  find then  
7          $state \leftarrow$  lost  
8       end  
9     send  $q$  to next( $p$ )  
10
```

# Chang Roberts Algorithm - II

```
1 else
2   while true do
3     receive  $q$ 
4     send ( $q$ ) to next( $p$ )
5     if state = sleep then
6       state  $\leftarrow$  lost
7     end
8   end
9 end
```

# Analysis

## Message Complexity

- Assume there are  $O(N)$  initiators.
- The leader's message will be sent  $N$  times.
- For other initiators, the message will be sent  $N - i$  times.
- $\sum_i (N - i) = O(N^2)$ .

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## Optimization

Global broadcast is not necessary



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# Basic Idea

- We get a  $O(N^2)$  complexity because each message can travel  $O(N)$  hops.
- Instead of sending a message to everybody, we need to find a way to filter the set of messages (similar to Maekawa's algorithm)
- We will consider gradually larger sizes of windows in a sequence of **rounds** .
- Each window will allow only one of its members to participate in the next round.
- If, we are able to filter the number of participating members by a factor of 2 in each round, we will have  $O(\log(N))$  rounds.
- If in each round, we send only  $N$  messages, then a total of  $O(N \log(N))$  messages need to be sent.

# $O(n \log(n))$ Time Algorithm

```
1 initialize:
  send (probe,id,0,1) to left and right

  receive (probe,j,k,d) from left(right):
  if  $j = id$  then
2   | leader  $\leftarrow j$ 
   | Terminate
3 end
4 if  $j < id$  and  $d < 2^k$  then
5   | send (probe, j, k, d+1) to right (left)
6 end
7 if  $j < id$  and  $d = 2^k$  then
8   | send (reply, j, k) to left (right)
9 end
```

# $O(n \log(n))$ Time Algorithm - II

```
1 receive (reply,j,k) from left(right):  
  if  $j \neq id$  then  
2   | send (reply,j,k) to right(left)  
3   end  
4   else  
5   |   if received (reply,j,k) from right(left) then  
6   |   | send (probe,id,k+1,1) to left and right  
7   |   end  
8   end
```

# Analysis

- The maximum number of winners after  $k$  phases is:
  - Two winners can at the least be  $2^k$  entries apart.
  - Thus, the total number of winners after  $k$  phases is  $n/(2^k+1)$
- The total number of messages for each initiator in phase  $k$  is  $4 \times 2^k$
- Total number of messages in the  $k^{\text{th}}$  phase is:

$$4 \times 2^k \times \frac{n}{2^{k-1} + 1}$$

- Total number of messages is:

$$M = \sum_{k=1}^{\log(n)} 4 \times 2^k \times \frac{n}{2^{k-1} + 1} = O(n \log(n)) \quad (1)$$

# Leader Election in Trees

- Let us consider arbitrary networks.
- Creating a ring based overlay is difficult (It amounts to constructing a Hamiltonian cycle – **NP Hard** ).
- However, creating a tree based overlay is easy.
- To further optimize the process, we can choose the MST (minimum spanning tree) as the overlay.
- Assumptions:
  - Let the current node be termed as  $p$
  - Let a neighbor be termed  $q$
  - All the leaves (degree=1) are initiators

# Initialization

```
/* Wakeup all the nodes */
1 if p is an initiator then
2   | awake ← true
   | foreach  $q \in \text{neigh}(p)$  do
3   | | send wakeup to q
4   | end
5 end
6 while  $\text{numWakeup} < |\text{neigh}(p)|$  do
7   | receive( wakeup )
   |  $\text{numWakeup} \leftarrow \text{numWakeup} + 1$ 
   | if awake = false then
8   | | awake ← true
   | | foreach  $q \in \text{neigh}(p)$  do
9   | | | send wakeup to q
10  | | end
11 end
```

# Send Proposal to Parent

```
/* Collate result from the leaves and send to parent */
1 received  $\leftarrow$  0
   $min_p \leftarrow p$ 
  while received < #children do
2   receive  $\langle r \rangle$  from  $q$ 
    $rec_p[q] \leftarrow \mathbf{true}$ 
   received  $\leftarrow$  received + 1
    $min_p \leftarrow \min(min_p, r)$ 
3 end
4 send  $min_p$  to parent
```



# Decide the Leader

```
/* Receive the result from the parent, and
   send to the leaves */
1 receive  $\langle r \rangle$  from parent
  res  $\leftarrow$  min(  $min_p$ ,  $\langle r \rangle$  )
  if res =  $p$  then
2   | state  $\leftarrow$  leader
3 end
4 else
5   | state  $\leftarrow$  lost
6 end
7 foreach  $q \in neigh(p)$ ,  $q \neq parent$  do
8   | send res to  $q$ 
9 end
```





# Analysis

## Message Complexity

- On every edge, we can send at the most two wakeup messages
- We can send a proposal and its reply.
- A tree with  $N$  nodes as  $(N - 1)$  edges.

## Complexity

Message Complexity:  $4N - 4 = O(N)$

-  Introduction to Distributed Algorithms by Gerard Tel, Cambridge University Press, 2000
-  Distributed Computing, Fundamentals, Simulations and Advanced Topics by Haggit Attiya and Jennifer Welch, Wiley 2004
-  Advanced Concepts in Operating Systems by Mukesh Singhal and Niranjan Shivaratri, McGrawHill, 1994
-  Distributed Algorithms by Nancy Lynch, Morgan Kaufmann, 1996